

- Unofficial Translation -

(Please refer to the Thai text for the official version)

Sor. Ror. (Wor) 439 /2009

June 3, 2009

Subject: Industry Wide Test for Additional Developed Functions of the Depository System and Clearing House

Dear: All depository participants

Reference 1. Sor.Ror. (Wor) 228/2009 The Change of the Participant Interface Files (May 4,2009)
2. Sor Ror. (Wor) 242/2009 Notification of the development of PTI system (May 4,2009)

Enclosure: 1. Test Schedule for the Industry Wide Test
2. Test Data for the Industry Wide Test

According to the prior information regarding the preparation for the Industry Wide Test (IWT) of the depository system and Clearing House which will be arranged during June 8 – 12, 2009, Thailand Securities Depository Co., Ltd. (TSD) has enhanced the system effectiveness by developing system functions as following details;

1. Added new function: *Transfer Queue*
2. Enhanced *the Account Transfer* function by adding the Brokerage Account field
3. Enhanced the display and report for *Foreign Room* function.
4. Enhanced the *Format Text File* by adding the Brokerage Account field as follows;
 - Account Transfer Upload
 - Daily Transaction Download File
5. Enhanced the display of Pending Securities Delivery.
6. Enhanced the NVDR Adjustment function by adding the securities amount in the Approve/ Reject, Inquiry processes ,and Report.
7. Enhanced the SBL function by providing SBL report for settlement time.

To assure the performance effectiveness of such developed functions, the Industry Wide Test has been arranged during June 8 - 12, 2009. The details of the username and password for the test, additional developed functions, testing data, and test result notification can be downloaded at SET Community Portal (SCP) <https://www.setportal.set.or.th> from June 4, 2009 onwards.

Please be informed accordingly,

Yours sincerely,

Somchai Oksue

Head Depository Department

CC: Services Development Department

Market Development Department

Account Services Unit

Depository Department

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Test Schedule for Depository System IWT

June 8 - 12, 2009

Transactions	Start Time	Cut-off Time
Test Hours	9.30 a.m.	7.00 p.m.
1 Transfer Queue	9.30 a.m.	5.00 p.m.
2. Securities Transfer	9.30 a.m.	5.00 p.m.
3 Inquiry : Foreign Room	9.30 a.m.	7.00 p.m.
4. Entitlement List at Book-Closing Date ACL : XO 11/06/2009	9.30 a.m.	2.30 p.m.
5. Genarate Text File-->Daily Transaction Dowload File	2.00 a.m.	7.00 p.m.

Conditions for Transaction completed after Cut-off Time

Account Transfer and Transfer Queue

1. Account transfer creation: Transaction created after cut-off time will be validated on the next business day

2. Account transfer adjustment: Transaction created after cut-off time can be adjusted.

However, transaction created before cut-off time (Current Date) cannot be adjusted.

Note: 1. Transaction created before cut-off time (Current Date) can be adjusted only before cut-off time.

2. At the end of day (EOD), the transaction which has been created before cut-off time but has not been approved or confirmed, will be rejected by system.

Clearing Function Test Schedule

8-12 June, 2009

1. Testing Issues

1.1. Modified the information regarding securities default in participant's Clearing Account from "Negative Balance" to "Pending Securities Delivery"

1.2. NVDR Adjustment Menu : Add securities volume in Approve/Reject, Inquiry and Report.

1.3. SBL Menu : Allow members to print report "Participant - Daily Borrowing Transaction" after settlement time.

2. Testing Data

2.1 Using trade deals on 3 June 2009 on production to be trade deals on 4 June 2009 on testing as follows :

Trade Date (T)	4 June, 2009
T+1	8 June, 2009
T+2	9 June, 2009
T+3	10 June, 2009
T+4	11 June, 2009
T+5	12 June, 2009

2.2 Cut-off times for each function will be the same as the actual cut-off times in system such as

Netting II : T+3 @ 10.30 am.

Settlement : T+3@ 01.30 pm.

2.3 Members shall check Outstanding Balance on testing link before testing, thus the balance will be used on testing.

2.4 Members shall test the pending securities delivery and book closing for "ACL"

Data for Test

No.	Data	Details
1	Test Date	June 8 - 12, 2009
2	Test Website	https://deva.thaicds.set
3	User name and Password for Test	Download จาก SET Community Portal (SCP) https://www.setportal.set.or.th From June 4, 2009 onwards
4	Securities Data for Test	Outstanding Balance according to test data
5	Test Result Notification	Daily submitted using Defect / Comment Form which can be downloaded from SET Community Portal (SCP) https://www.setportal.set.or.th and mailed to: CSD@set.or.th